



# DEM ANALYSIS OF INTACT ROCK STRENGTH UNDER CONFINED EXTENSION

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## QUESTIONS WE ASK...

- Can DEM with bonded spherical particles only yield realistic UCS/UTS?
- How do rock behaviors transition between uniaxial tension and uniaxial compression?

### Failure envelope shape

Smooth  
e.g. Hoek-Brown

*implies*



### Failure plane orientation

Smooth transition

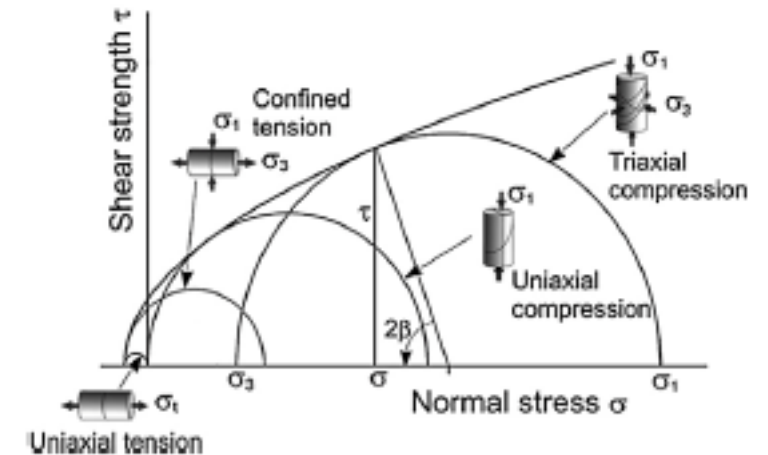
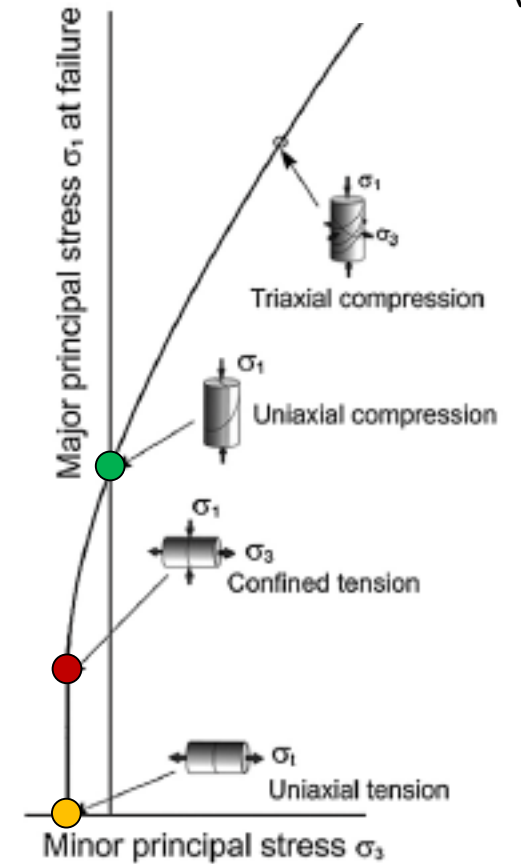
Tension cutoff



Sharp transition

- If tension cutoff is legitimate, where should it end?

Hoek and Martin (2014)

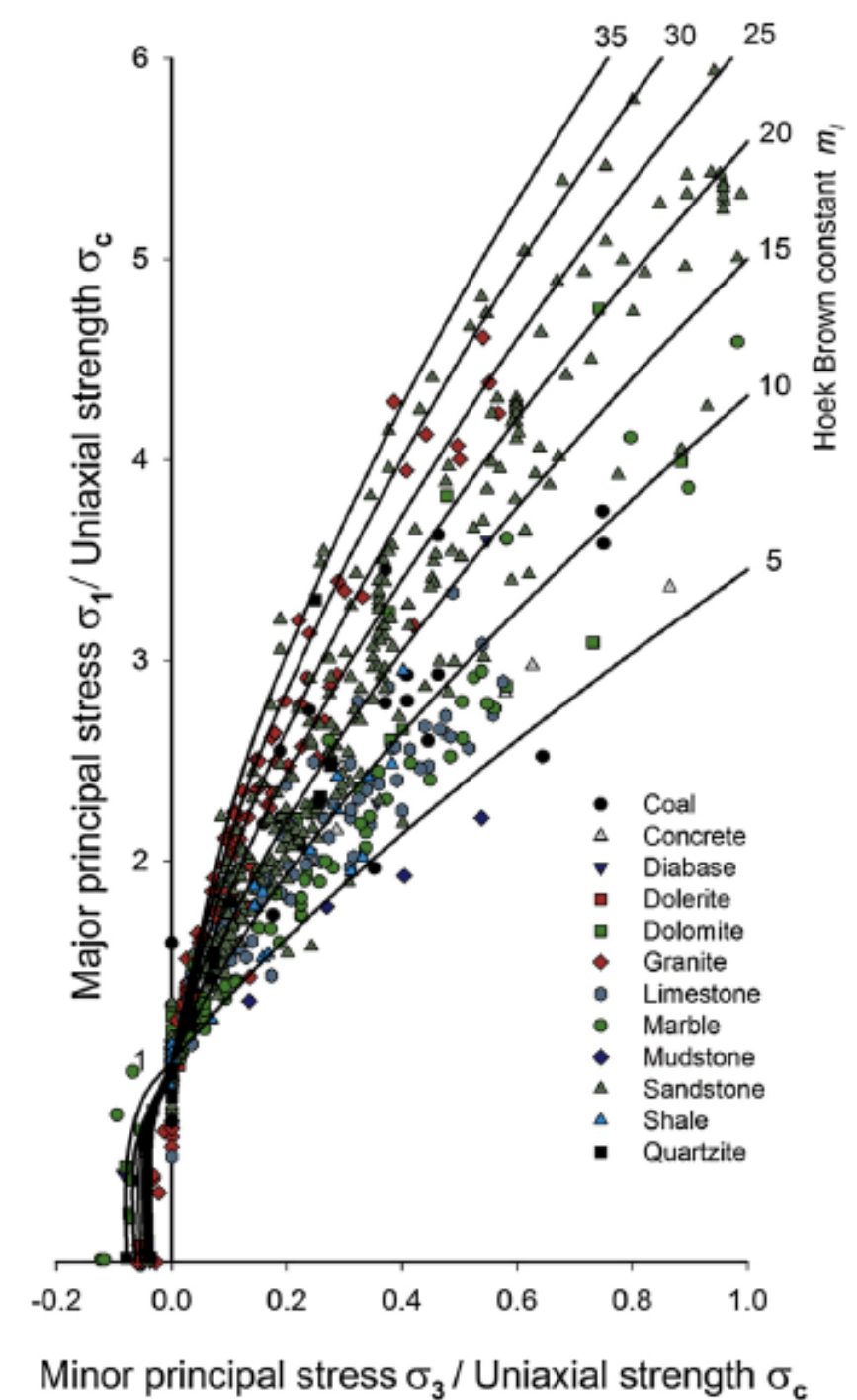


# STRENGTH RATIOS OF REAL ROCKS

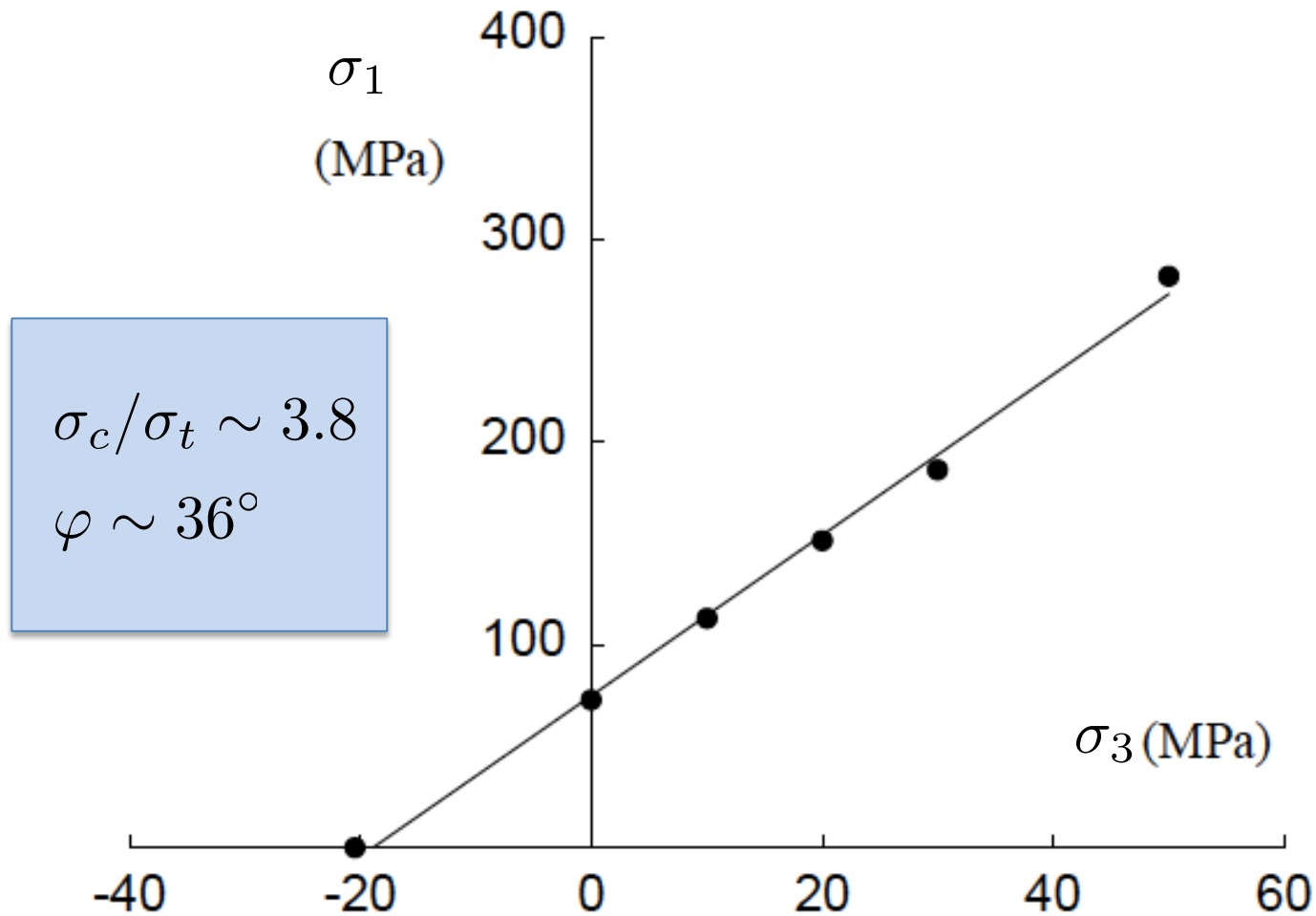
Analysis of data containing reliable tensile values.

Hoek and Martin (2014)

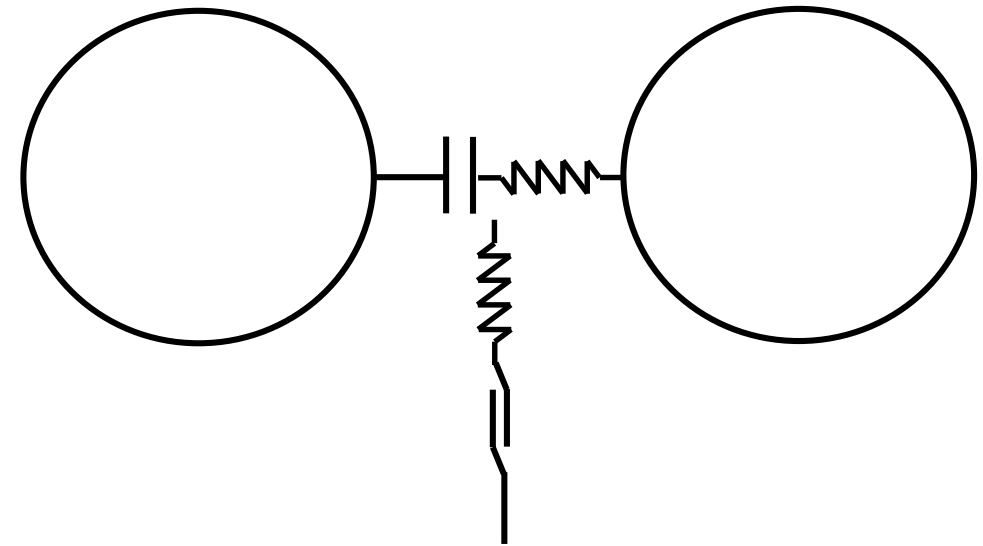
Fairhurst ( $\sigma_t \leq 2$ MPa)			Hoek–Brown (shear data)			Data set and reference
$\sigma_c$ (MPa)	$\sigma_t$ (MPa)	$\sigma_c/ \sigma_t $	$\sigma_c$ (MPa)	$\sigma_t$ (MPa)	$m_i$	
128.5	−7.74	16.6	129	−15.6	8.25	Carrara marble (Ramsey and Chester, 2004)
516.5	−33.72	13.9	557	−65.9	8.45	Blair dolomite (Brace, 1964)
95.5	−6.41	14.9	102	−10.6	9.65	Berea sandstone (Bobich, 2005)
125.5	−8.72	14.4	131	−12.4	10.60	Webtuck dolomite (Brace, 1964)
614.0	−25.5	24.1	592	−28.7	20.65	Granite aplite (Hoek, 1965)
220	−7.06	31.1	227	−6.01	32.4	Lac du Bonnet granite (Lau and Gorski, 1992)



# FAILURE ENVELOPE - LINEAR CONTACT BOND MODEL



Huang (1999)



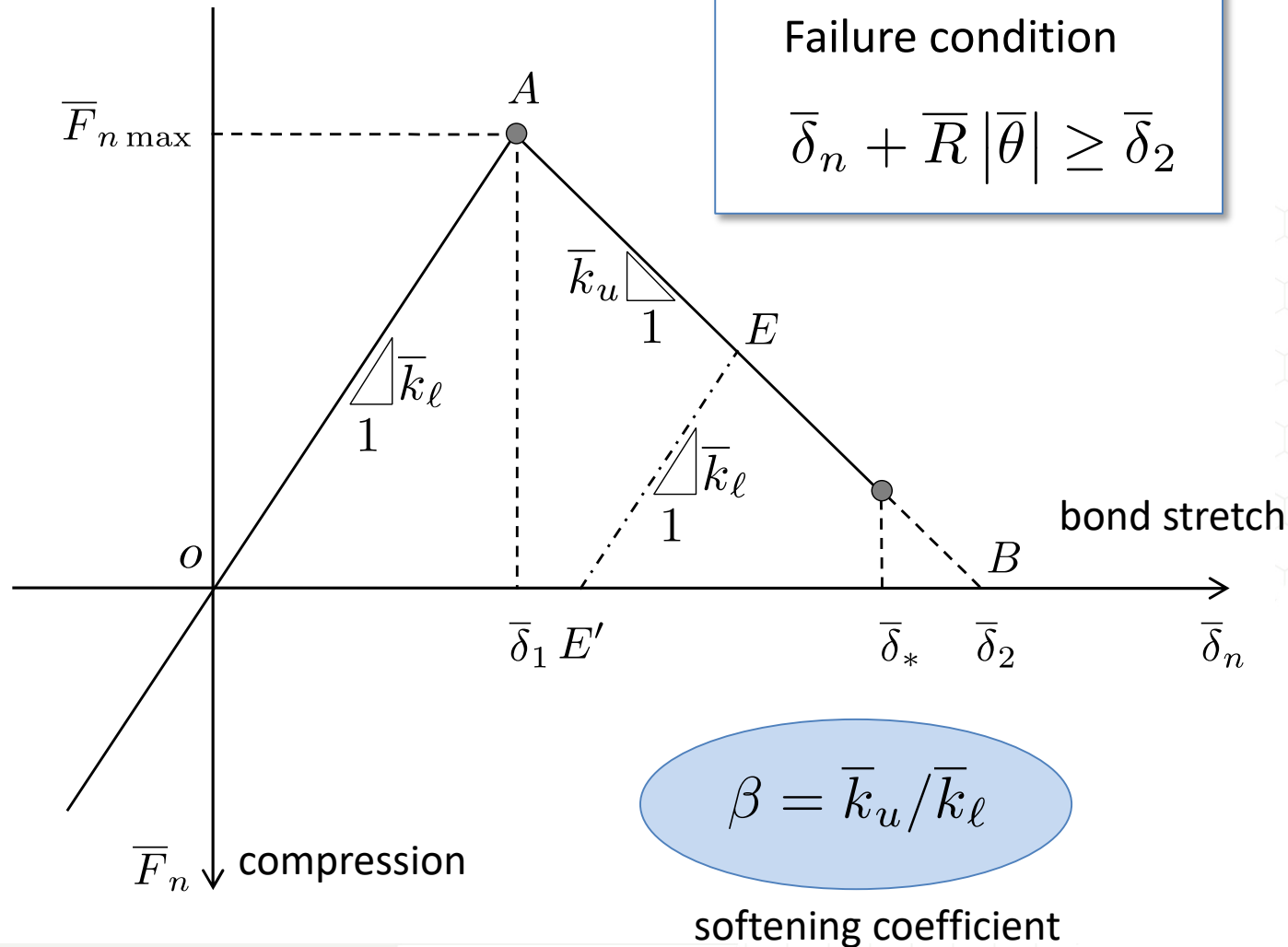
Soft contact  
Spherical particles  
Dense packing  
Short-range interaction  
Elasto-perfectly brittle contact

- Clumped particles (Cho et al., 2007)
- Grain based model (Potyondy, 2010)
- Flat joint model (Potyondy, 2012)
- Increasing interaction range (Scholtes and Donze, 2013)
- Introducing initial damage (Schöpfer et al, 2009)



# DISPLACEMENT-SOFTENING CONTACT MODEL

Ma and Huang (2018)



- Modified from the parallel bond model in PFC 5.0 ( $\beta \rightarrow \infty$ )
- Point contact between particles – elastic, frictional
- Area contact – elastic and bonded; softening law implemented in the normal direction;

First version of the model

$$\bar{\tau}_c \gg \bar{\sigma}_c$$

thus... only one additional parameter

$$W \times H = 60 \times 120 \text{ mm (2D)}$$

$$D \times H = 40 \times 80 \text{ mm (3D)}$$

$$\bar{R} = 0.8 - 1.66 \text{ mm}$$

$$E_c = \bar{E}_c = 50 \text{ GPa}$$

$$\kappa = k_n/k_s = 4.0$$

$$\bar{\kappa} = \bar{k}_n/\bar{k}_s = 4.0$$

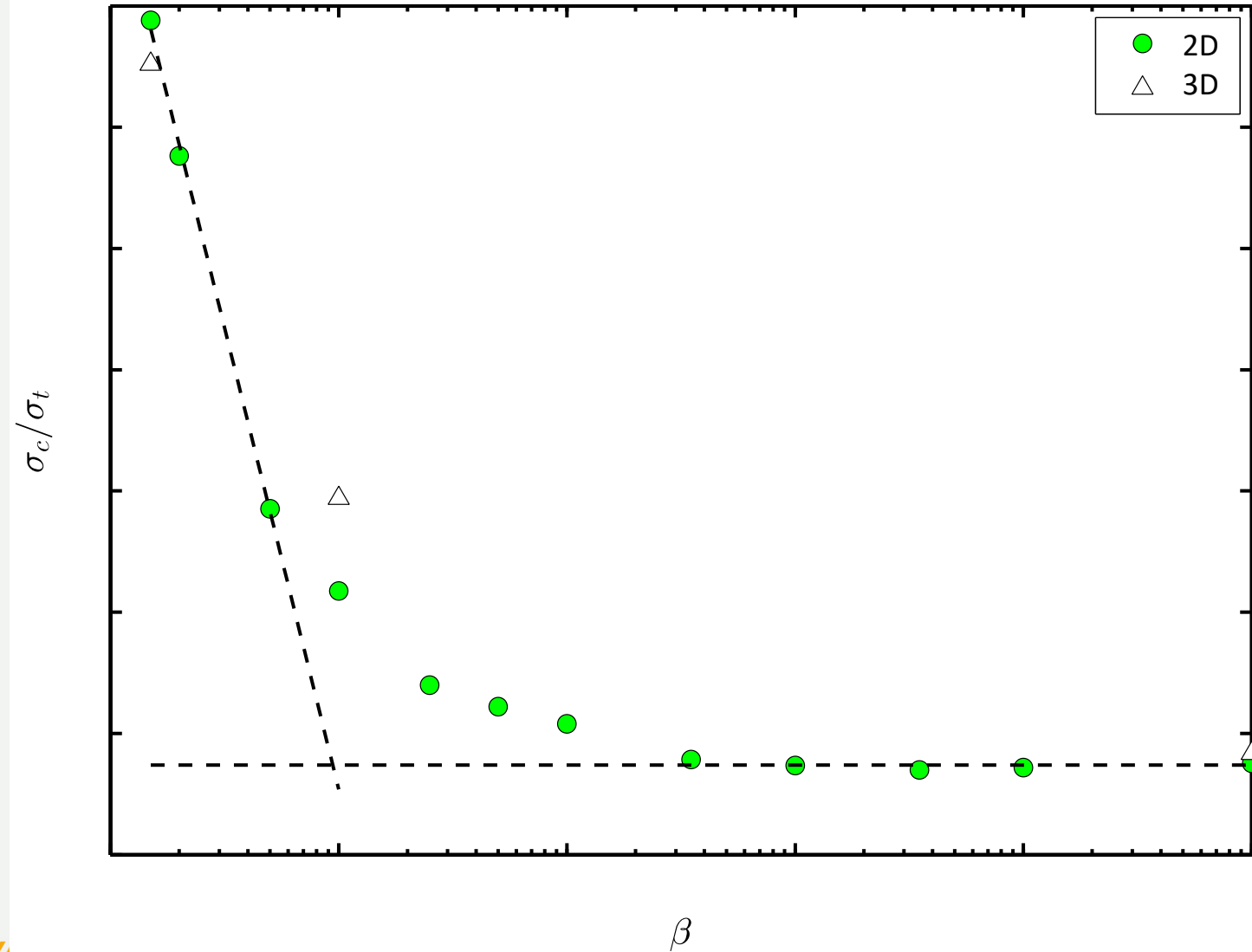
$$\mu = 0.5$$

$$\bar{\sigma}_c|_{\beta \rightarrow \infty} = 50 \text{ MPa}$$

$$\bar{\sigma}_c|_{\beta} = \sqrt{\frac{\beta}{1+\beta}} \bar{\sigma}_c|_{\beta \rightarrow \infty} \quad \Delta \bar{\sigma}_c = \pm 10\% \bar{\sigma}_c$$

Nominal energy loss for one bond breakage is kept constant

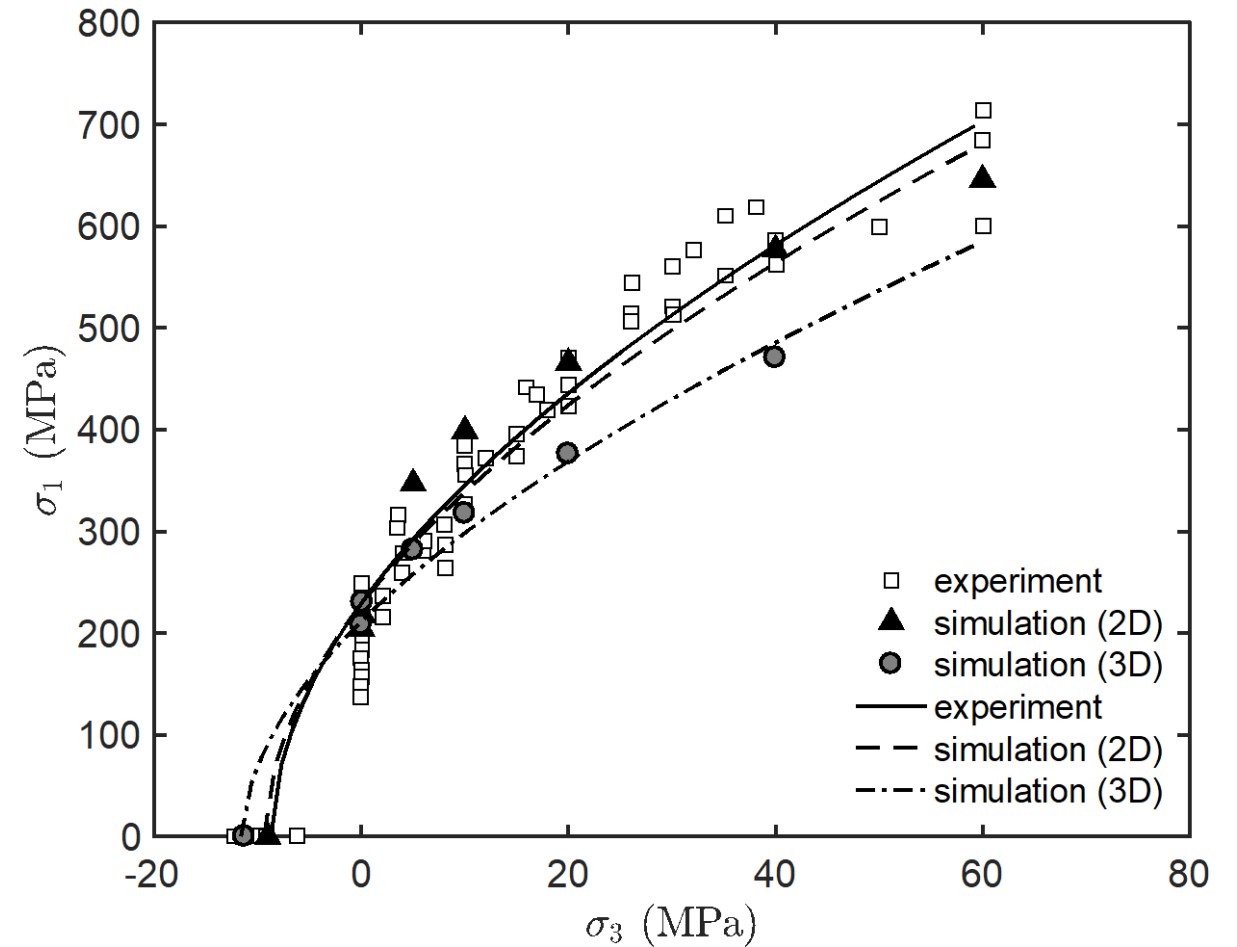
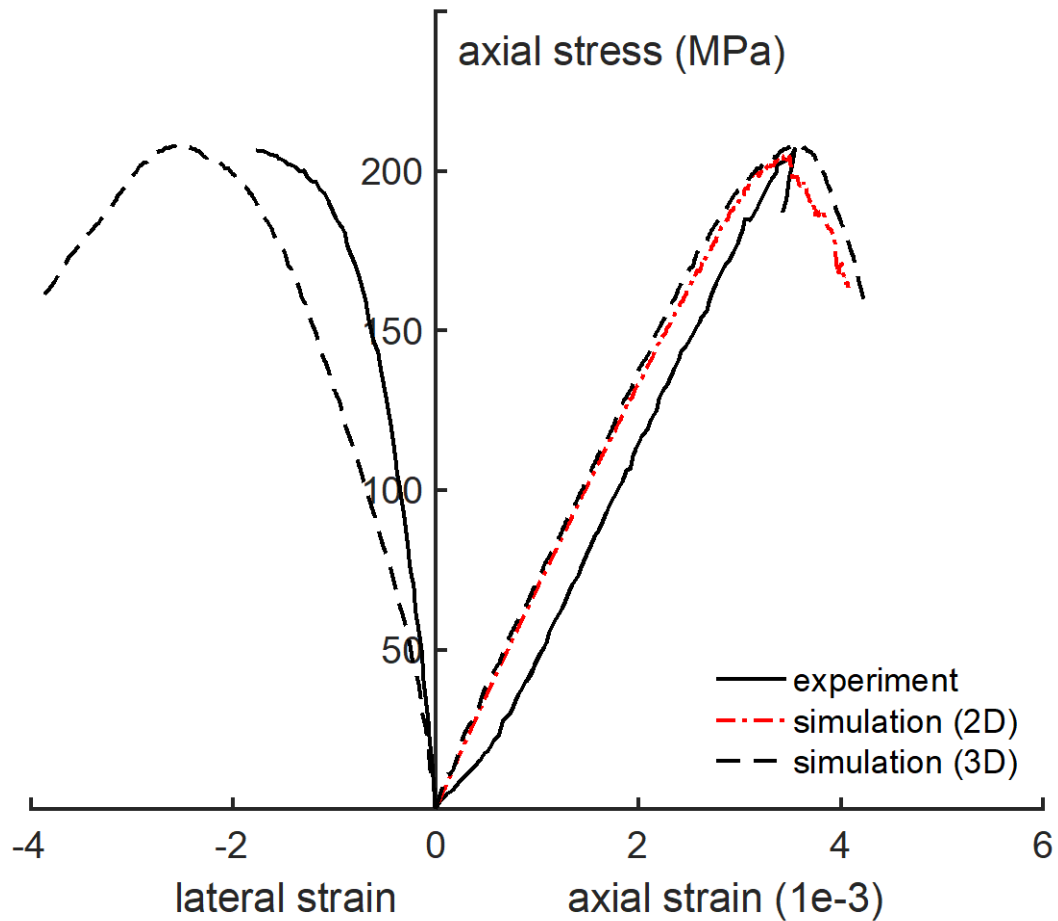
$$\bar{U}_b = \frac{\bar{\sigma}_c \bar{\delta}_2}{2\bar{R}} = \frac{\bar{\sigma}_c^2}{2\bar{R}\bar{k}_n} \left( \frac{1+\beta}{\beta} \right) = \frac{\bar{\sigma}_c^2}{\bar{E}_c} \left( \frac{1+\beta}{\beta} \right)$$



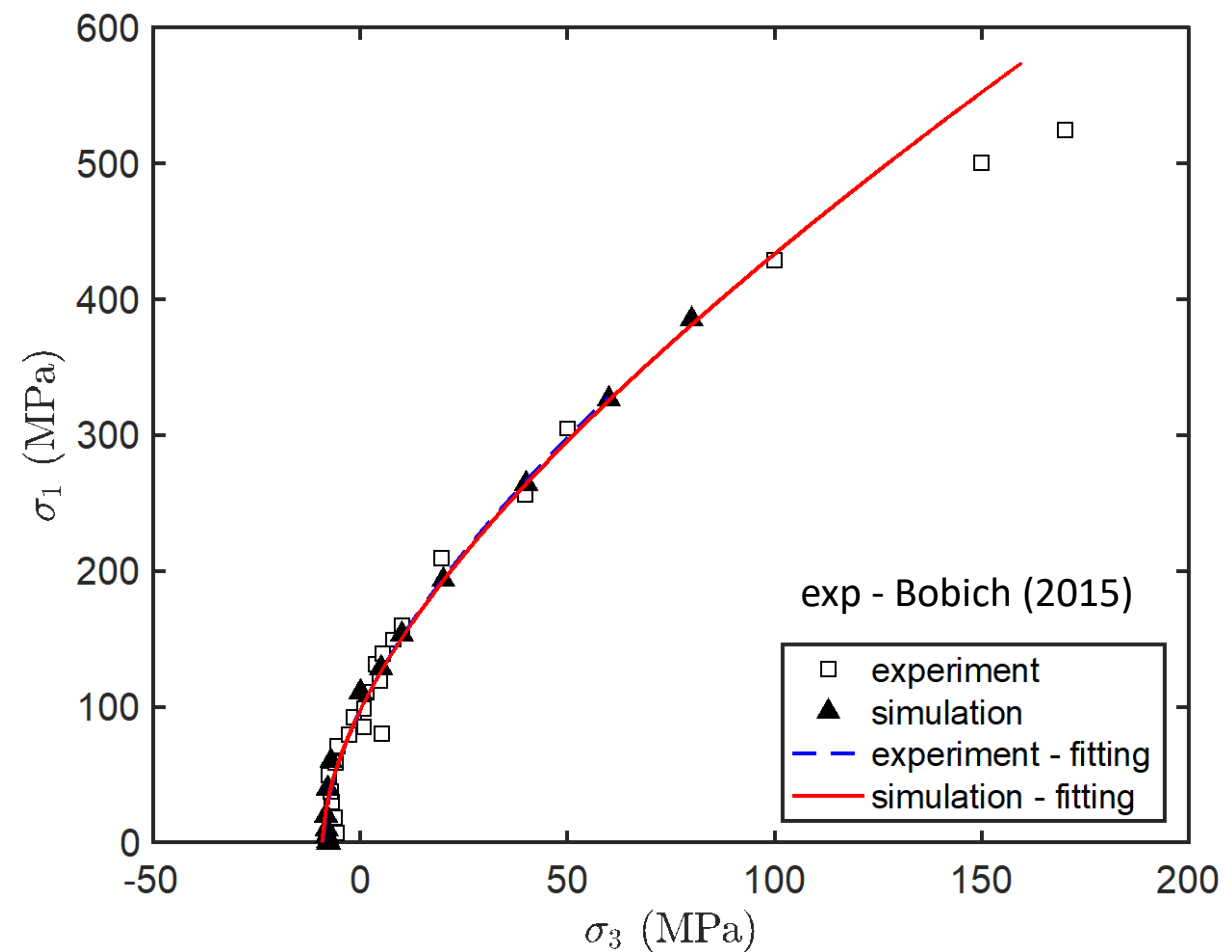
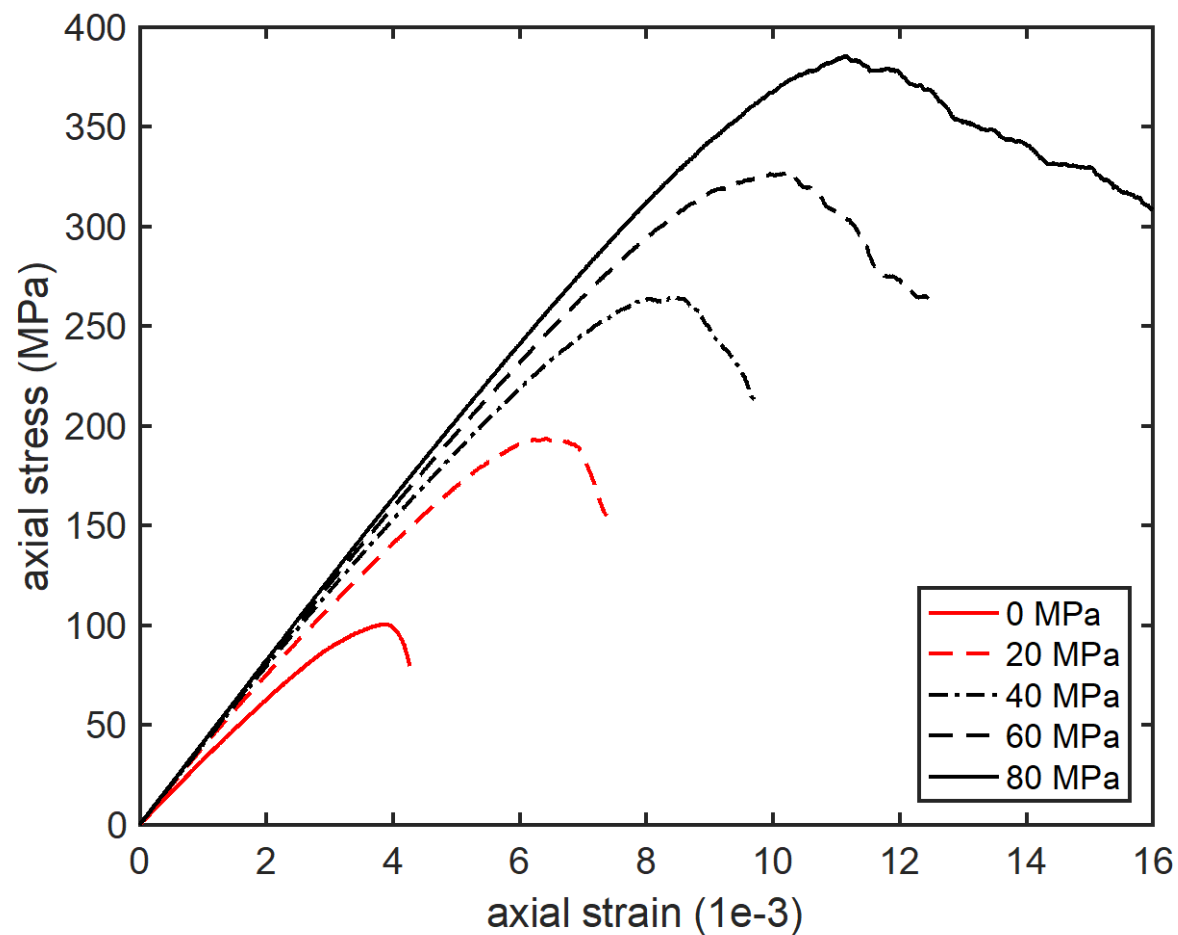
UCS and UTS can be calibrated separately by adjusting

$\bar{\sigma}_c$  and  $\beta$





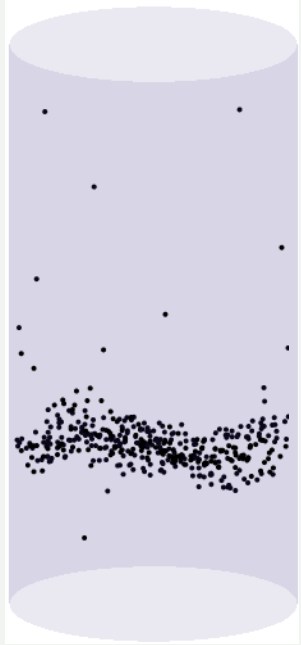
exp - Martin (1993)



Calibrated micro-scale parameters and the corresponding mechanical properties for modeling Lac du Bonnet (LdB) granite and Berea sandstone.

	LdB granite		Berea sandstone
	2D	3D	3D
Softening coefficient $\beta$	0.03	0.045	0.15
Normal bond strength $\bar{\sigma}_c$ (MPa)	$13 \pm 1.3$	$15 \pm 1.5$	$15 \pm 1.5$
Shear bond strength $\bar{\tau}_c$ (MPa)	$320 \pm 32$	$320 \pm 32$	$320 \pm 32$
Point contact modulus $E_c$ (GPa)	67.59	78.79	20.0
Parallel bond modulus $\bar{E}_c$ (GPa)	67.59	78.79	20.0
Elastic modulus $E$ (GPa)	67.52	69.08	17.98
Uniaxial compressive strength $\sigma_c$ (MPa)	204.73	207.94	108.35
Uniaxial tensile strength $\sigma_t$ (MPa)	9.05	11.3	6.80
Strength ratio $\sigma_c/\sigma_t$	22.62	18.40	15.88

# BEREA SANDSTONE

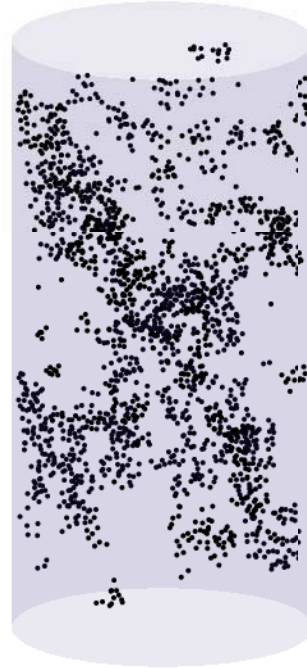


$$\sigma_1 = 5 \text{ MPa}$$

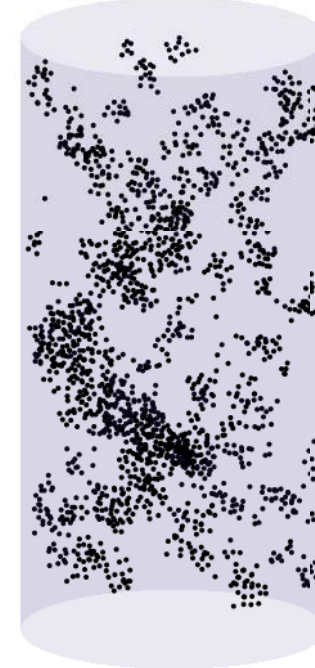


$$\sigma_1 = 10 \text{ MPa}$$

confined extension

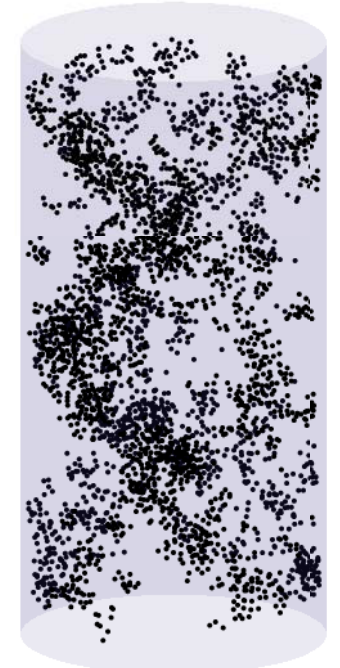


$$\sigma_3 = 5 \text{ MPa}$$



$$\sigma_3 = 10 \text{ MPa}$$

triaxial compression



$$\sigma_3 = 40 \text{ MPa}$$

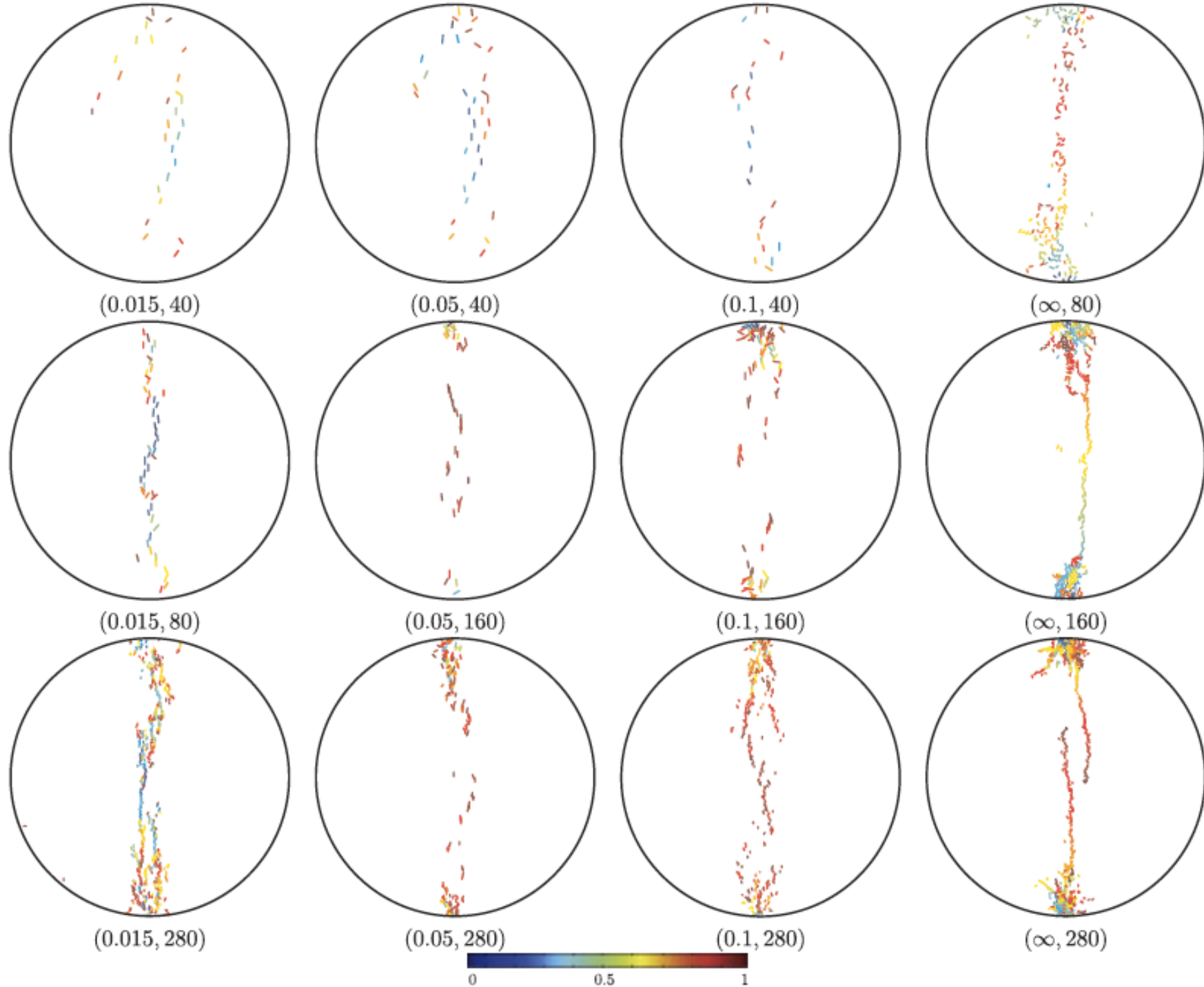
## BRAZILIAN TEST (2D)

Two primary failure scenarios

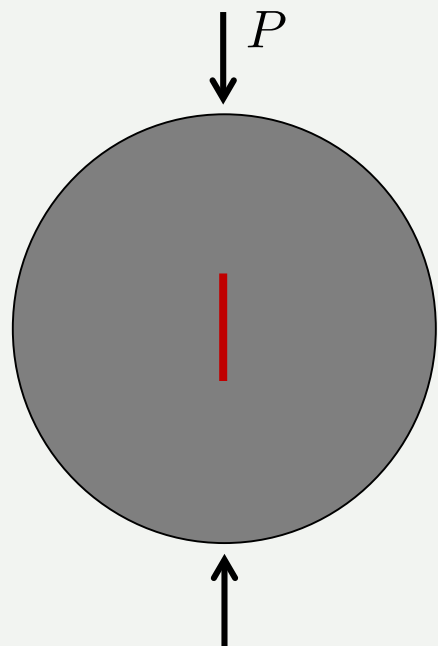
- Indentation-type
- Center crack type

Both  $\beta$  (i.e., UCS/UTS) and sample size affect the failure scenarios.

$(\beta, D)$   
 $D$  (mm)



## BRAZILIAN TEST (3D)

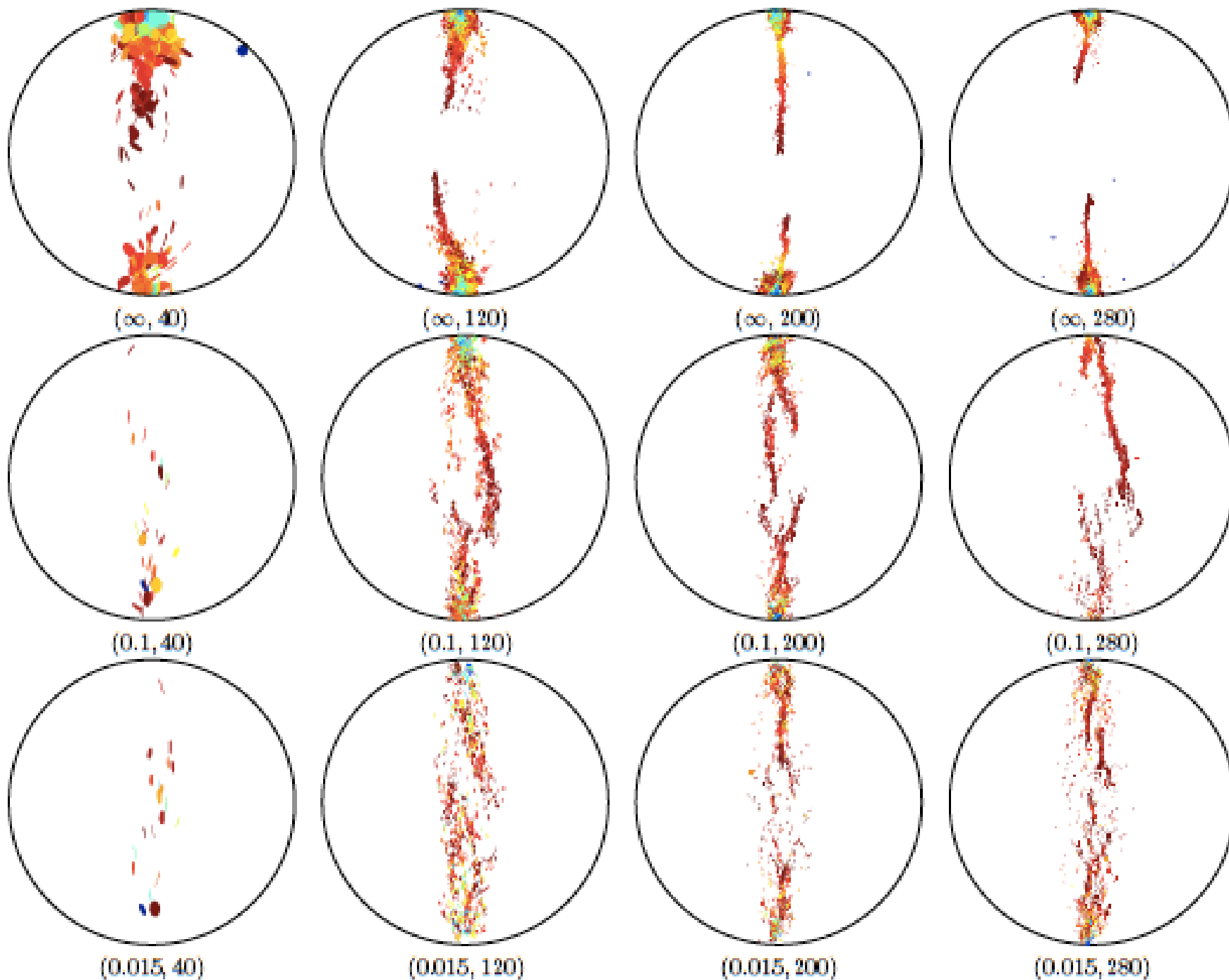


$$\sigma_B = \frac{2P}{\pi Dt}$$

Hondros (1959)

$(\beta, D)$

$D$  (mm)

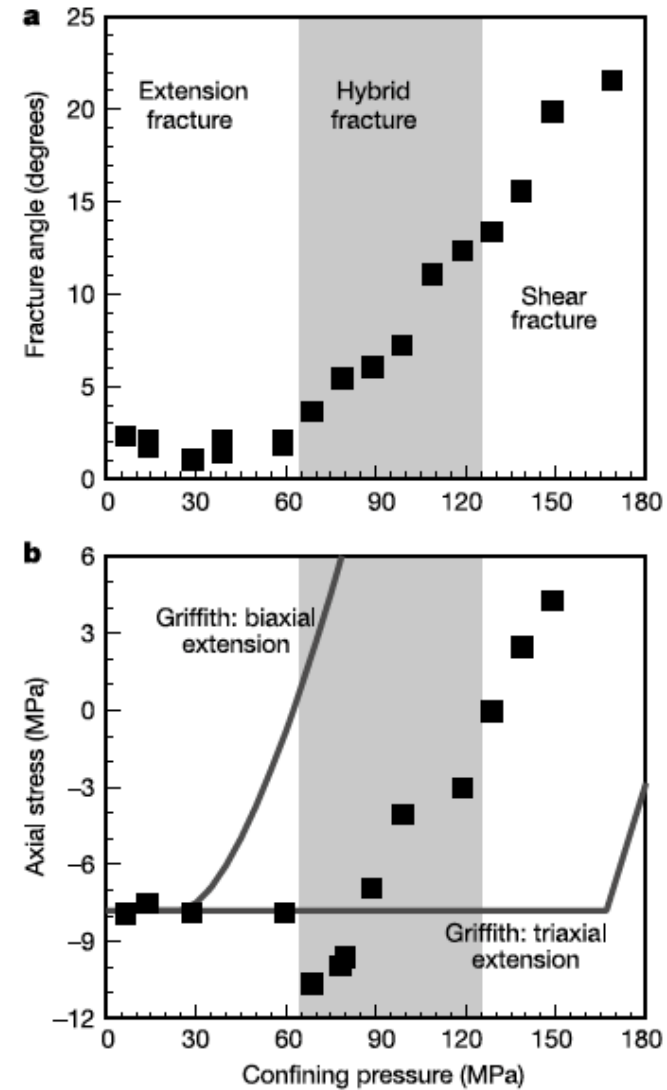
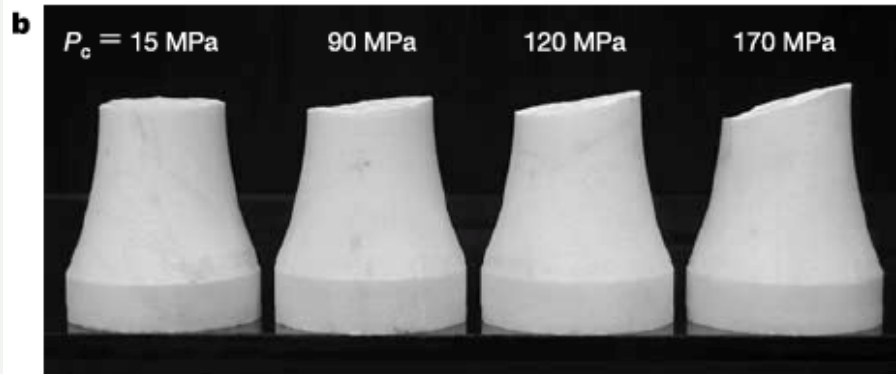


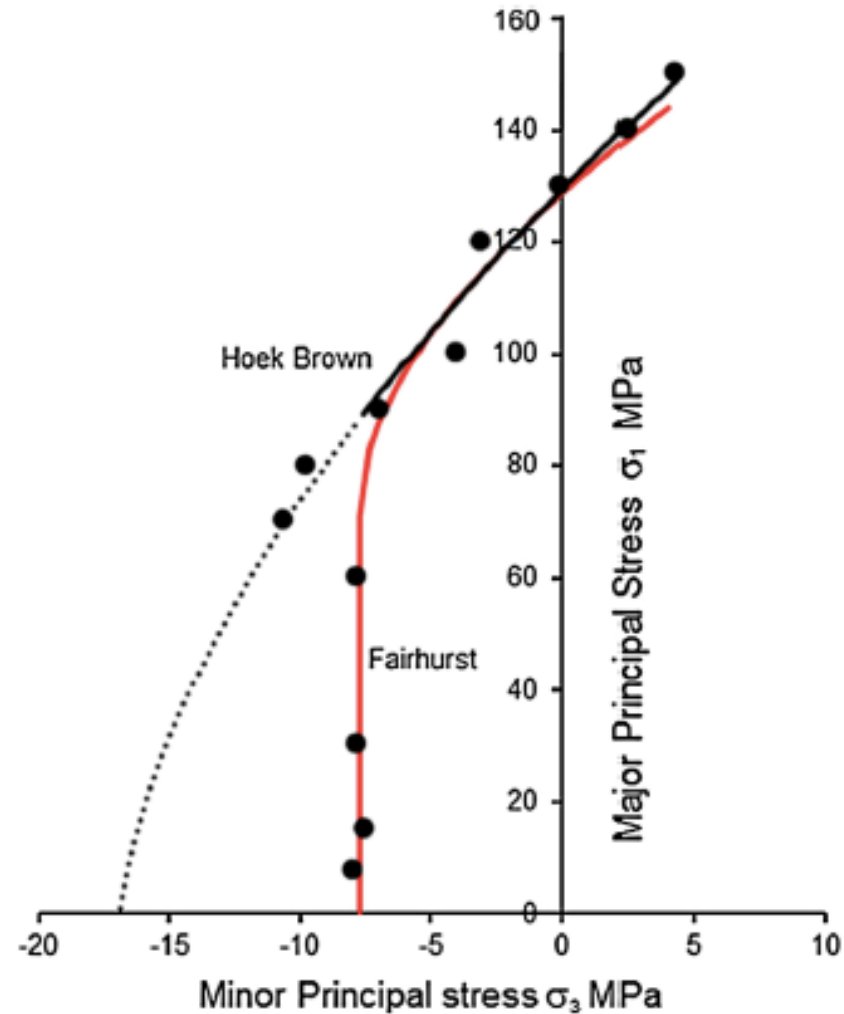
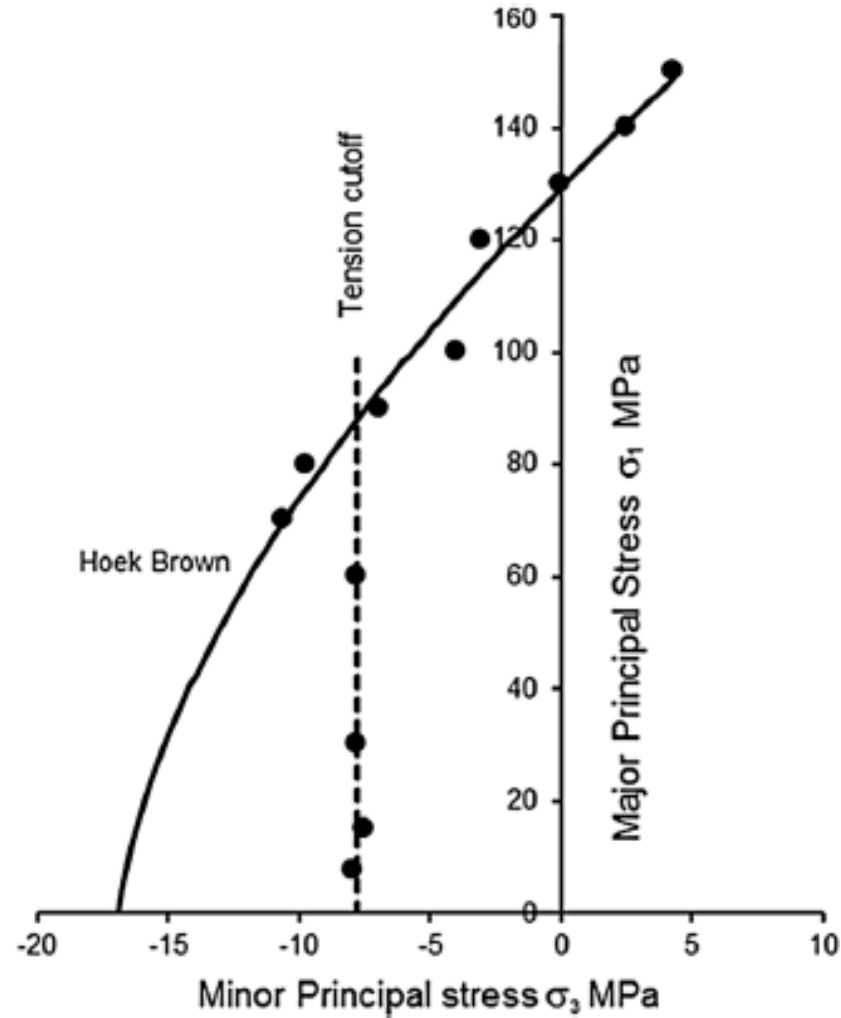


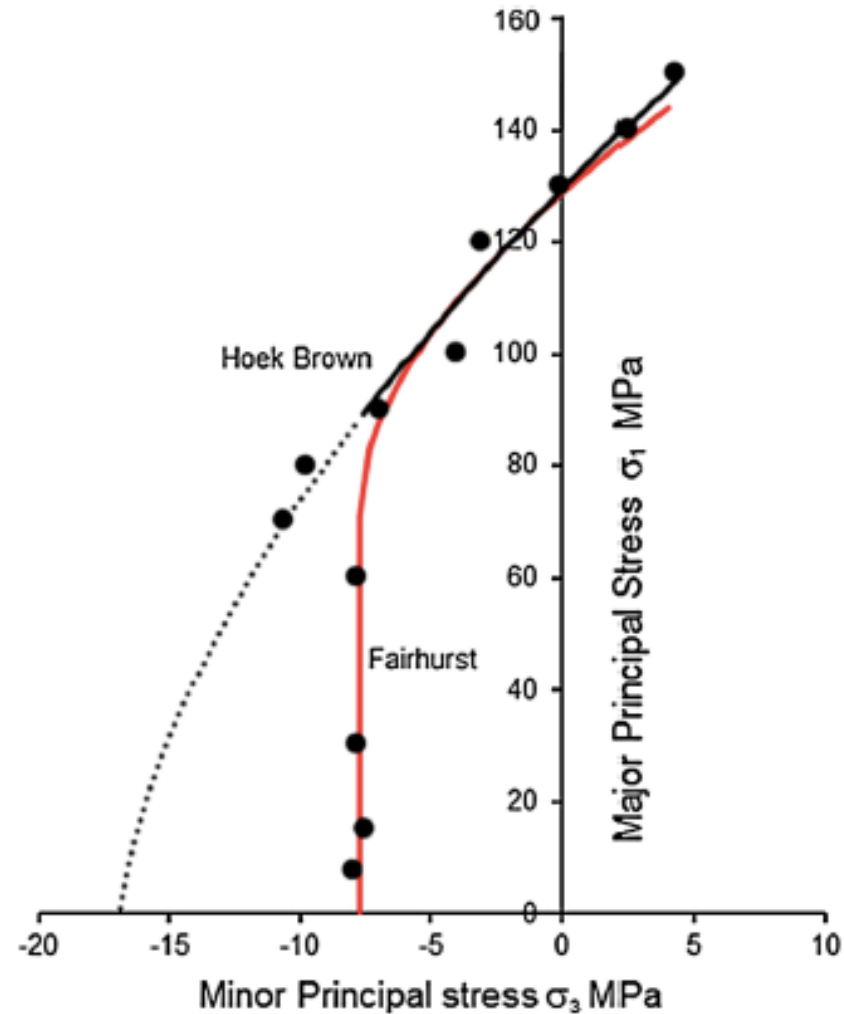
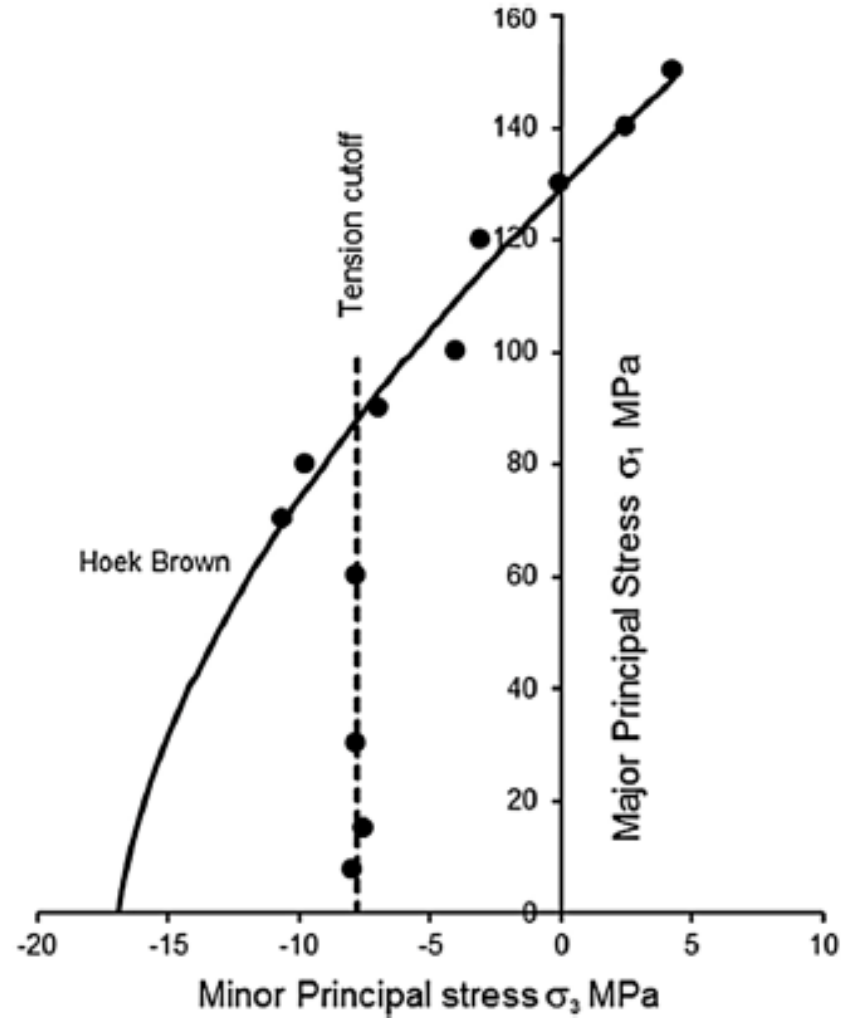
- 20 triaxial extension tests
- dog-bone shaped specimens
- five rock types: granite, quartzite, diabase and two dolomites
- 7 samples fail at axial stresses close to their uniaxial tensile strengths with the failure plane more or less normal to the axial direction

*Primary experimental source to support the tension cutoff...*

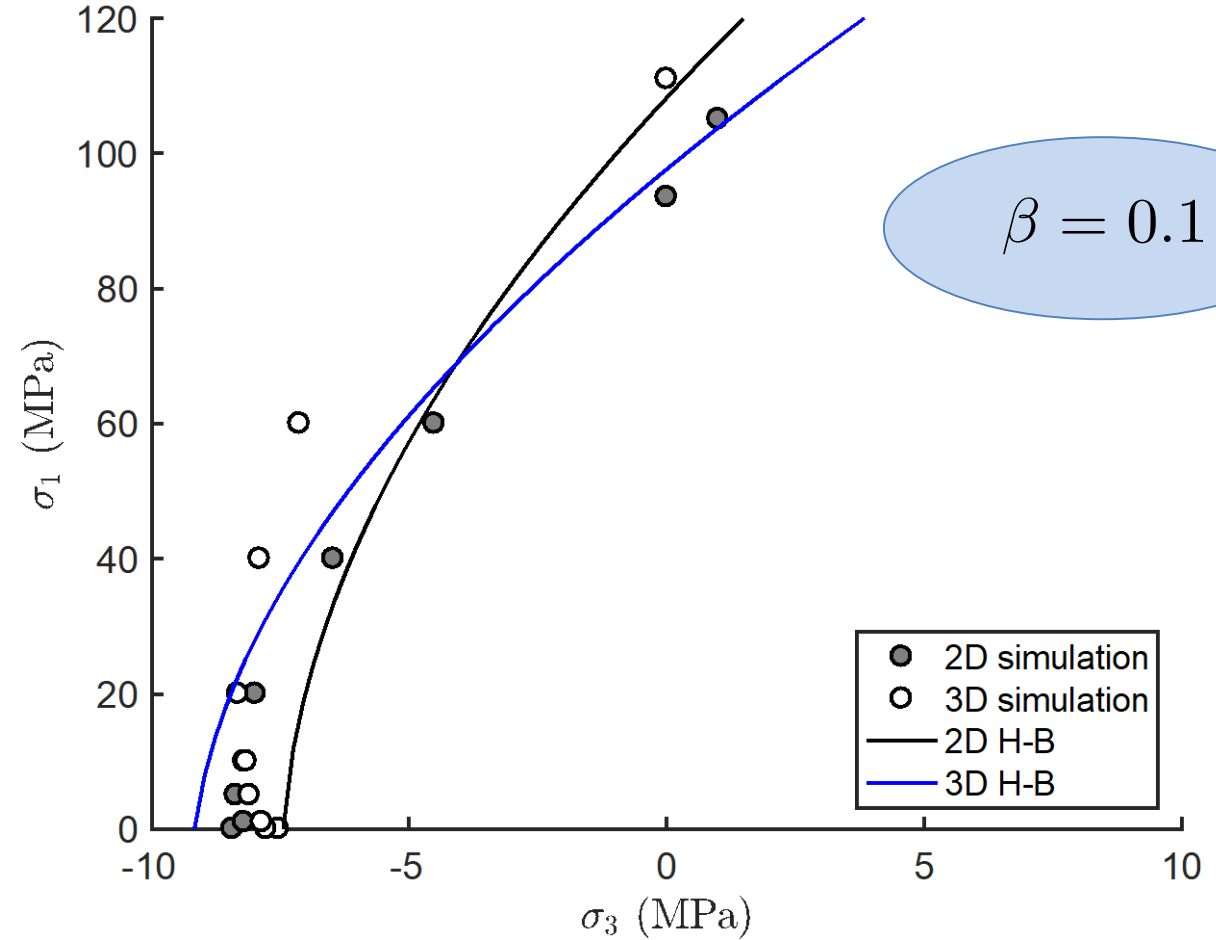
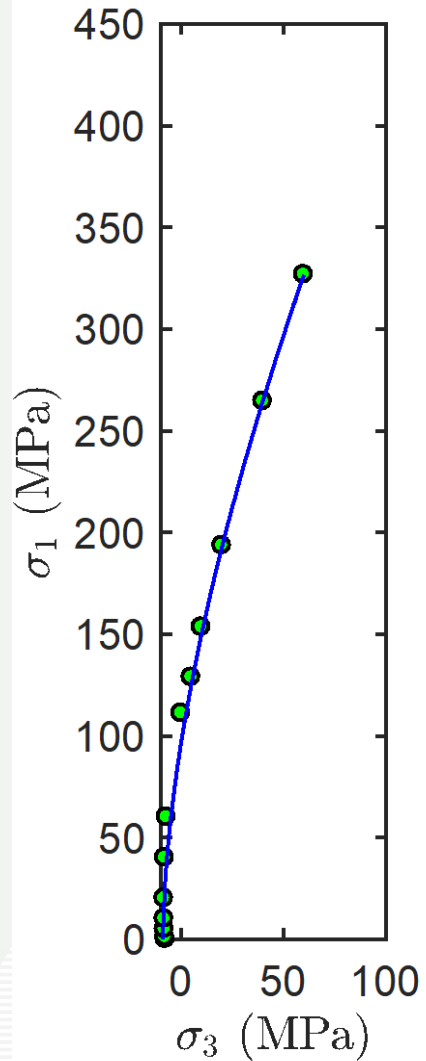
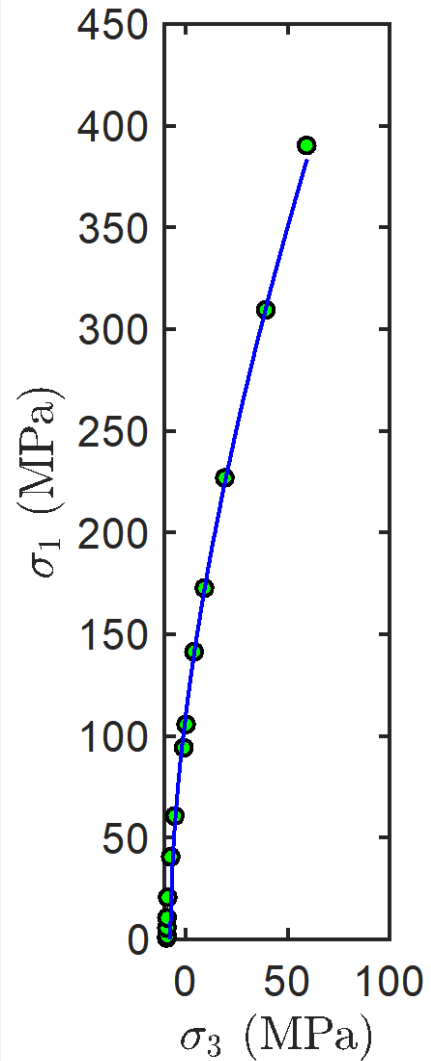
## Carrara marble



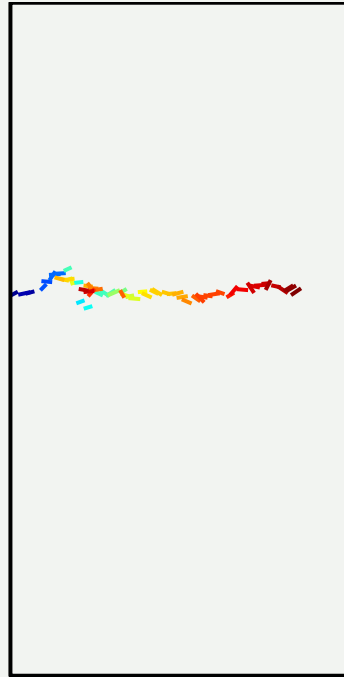




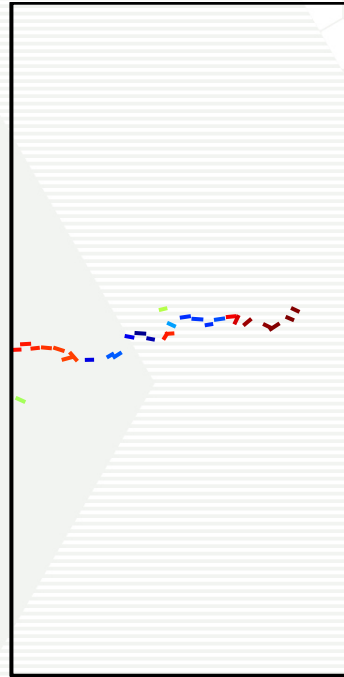
## A PARTICULAR CASE (BETA = 0.1)



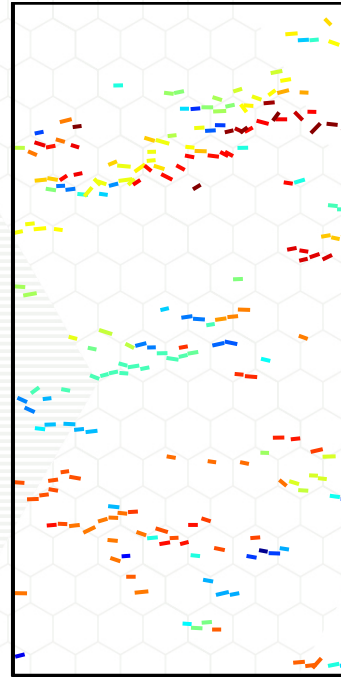
$$\beta = 0.1$$



$\sigma_x = 0 \text{ MPa}$   
 $-8.37 \text{ MPa}$



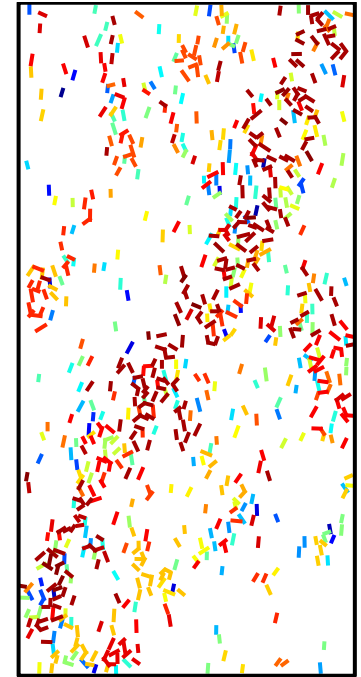
$\sigma_x = 10 \text{ MPa}$   
 $\sigma_y = -8.20 \text{ MPa}$



$\sigma_x = 60 \text{ MPa}$   
 $\sigma_y = -4.51 \text{ MPa}$



$\sigma_x = 10 \text{ MPa}$   
 $172.07 \text{ MPa}$



$\sigma_x = 60 \text{ MPa}$   
 $389.66 \text{ MPa}$



# WHERE DOES TENSION CUTOFF END?

## Theoretical –

- Griffith 2D  $\omega = 3$
- Murrell (1963) extension of Griffith  
 $\omega = 5 \quad \sigma_1 > \sigma_2 = \sigma_3 = -\sigma_t$   
 $\omega = 21.39 \quad \sigma_1 = \sigma_2 > \sigma_3 = -\sigma_t$
- Fairhurst (1964)  $\omega = 7.8$

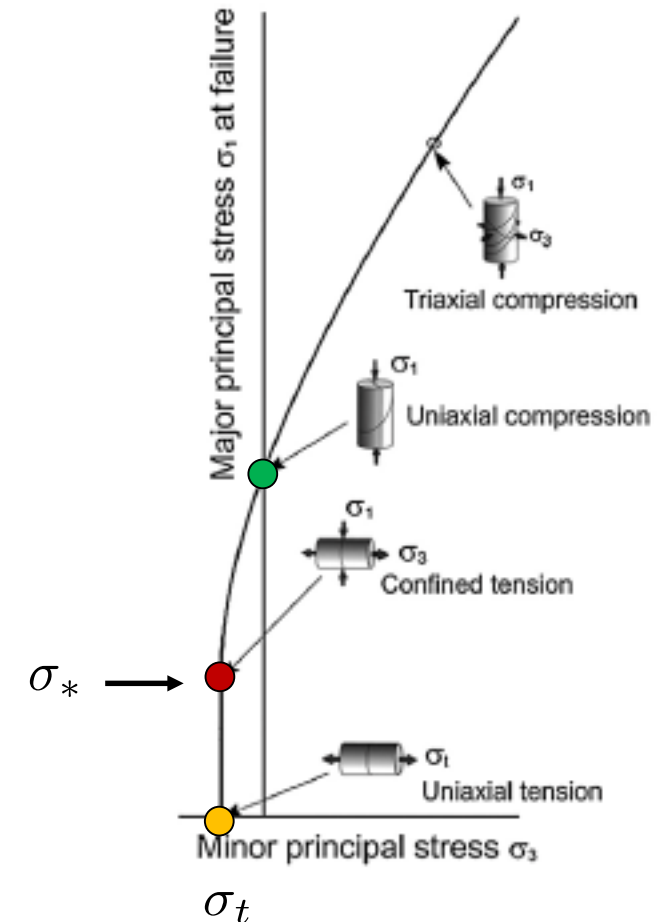
## Experimental –

- Ramsey and Chester (2004)  $\omega = 60/7.8 = 7.69$

DEM results -  $\omega \approx 2.4$  2D

$\omega \approx 7.6$  3D

$$\omega = \sigma_* / \sigma_t$$



- Incorporating displacement-softening can overcome the issue of low UCS/UTS for DEM with only bonded spherical particles.
- Excellent match can be achieved for the full failure envelope of Berea sandstone.
- Both the indentation-type and the center crack type of failures can be reproduced for the Brazilian test.
- Confined extension simulations results support the use of a tension cutoff.
- The end of the tension cutoff from the simulations compares favorably with the prediction of Fairhurst and the experiments by Ramsey and Chester (2004).

- Ma, Y. and H. Huang, DEM analysis of failure mechanisms in the intact Brazilian test, *Int. J. Rock Mech. Min. Sci.*, 102, 109-119, 2018.
- Ma, Y. and H. Huang, A displacement-softening contact model for discrete element modeling of quasi-brittle materials. *Int. J. Rock Mech. Min. Sci.*, 104, 9-19, 2018.
- Ma, Y. and H. Huang, Tensile strength calibration in DEM modeling, 51st US Rock Mechanics/Geomechanics Symposium, San Francisco, CA, 2017
- Ma, Y. and H. Huang, Effect of shear bond failure on the strength ratio in DEM modeling, 51st US Rock Mechanics/Geomechanics Symposium, Seattle, WA, 2018
- Ma, Y. and H. Huang, Size effect and the ductile-brittle failure mode transition, IS-Atlanta, 2018